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Form 990-PF Department of the Treasury Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047 2021 Open to Public Inspection

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation THE ALLEN INSTITUTE FOR ARTIFICIAL INTELLIGENCE % JOYCE LEE A Employer identification number 27-5430153 B Telephone number (see instructions) (206) 342-2000 C If exemption application is pending, check here G Check all that apply: Initial return, Final return, Address change, etc. H Check type of organization: Section 501(c)(3) exempt private foundation I Fair market value of all assets at end of year \$ 34,288 J Accounting method: Cash

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Row 1: Contributions, gifts, grants, etc., received (attach schedule) 1,296,035

Revenue	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	1,296,035	0		
	Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0		
		14	Other employee salaries and wages	1,291,842		1,291,842
15		Pension plans, employee benefits				
16a		Legal fees (attach schedule)	2,100		2,100	
b		Accounting fees (attach schedule)				
c		Other professional fees (attach schedule)				
17		Interest				
18		Taxes (attach schedule) (see instructions)				
19		Depreciation (attach schedule) and depletion				
20		Occupancy				
21		Travel, conferences, and meetings	875		875	
22		Printing and publications				
23		Other expenses (attach schedule)	6,104		6,104	
24		Total operating and administrative expenses. Add lines 13 through 23	1,300,921	0	1,300,921	
25		Contributions, gifts, grants paid	0		0	
26		Total expenses and disbursements. Add lines 24 and 25	1,300,921	0	1,300,921	
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-4,886			
	b	Net investment income (if negative, enter -0-)		0		
	c	Adjusted net income (if negative, enter -0-)				

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	39,174	34,288	34,288
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	39,174	34,288	34,288
17	Accounts payable and accrued expenses				
18	Grants payable				

Liabilities	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule)		
	22	Other liabilities (describe <input type="checkbox"/> _____)		
	23	Total liabilities (add lines 17 through 22)	0	0
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24	Net assets without donor restrictions	39,174	34,288
	25	Net assets with donor restrictions		
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds		
	27	Paid-in or capital surplus, or land, bldg., and equipment fund		
	28	Retained earnings, accumulated income, endowment, or other funds		
	29	Total net assets or fund balances (see instructions)	39,174	34,288
30	Total liabilities and net assets/fund balances (see instructions) .	39,174	34,288	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	39,174
2	Enter amount from Part I, line 27a	2	-4,886
3	Other increases not included in line 2 (itemize) <input type="checkbox"/> _____	3	
4	Add lines 1, 2, and 3	4	34,288
5	Decreases not included in line 2 (itemize) <input type="checkbox"/> _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	34,288





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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			

b					
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)		(g) Cost or other basis plus expense of sale	
(h) Gain or (loss) (e) plus (f) minus (g)					
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69		(k) Excess of col. (i) over col. (j), if any	
(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))					
a					
b					
c					
d					
e					
Capital gain net income or (net capital loss)				{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	
2					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				{ }	
3					

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1. Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1a    

1a Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)

Bracket
for line
1a

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) **2**

2 Add lines 1 and 2. **3**

3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) **4**

4 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- **5**

5 Credits/Payments:

a 2021 estimated tax payments and 2020 overpayment credited to 2021	6a	
b Exempt foreign organizations—tax withheld at source	6b	0
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	

7 Total credits and payments. Add lines 6a through 6d. **7** 0


8 Enter any **penalty** for underpayment of estimated tax. Check here if Form 2220 is attached. **8**

9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** **9** 0

10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** **10**

11 Enter the amount of line 10 to be: **Credited to 2022 estimated tax** **11** **Refunded**

Part VI-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?.		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		No

If "Yes," attach a detailed description of the activities.

3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: <input type="checkbox"/> round bullet By language in the governing instrument, or <input checked="" type="checkbox"/> round bullet By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XIV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. <i>If "Yes," complete Part XIII.</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

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Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," attach schedule. See instructions.</i>	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? <i>If "Yes," attach statement. See instructions.</i>	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <input checked="" type="checkbox"/> WWW.ALLENAI.ORG	13	Yes	
14	The books are in care of <input checked="" type="checkbox"/> JOYCE LEE Telephone no. <input checked="" type="checkbox"/> (206) 342-2000			

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year **15**

16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** Yes No No See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)	No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)	No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)	No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)	No
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.	1b	No
c Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>		
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d	No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? If "Yes," list the years <input type="checkbox"/> 20____, 20____, 20____, 20____	2a	No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a	No

- b** If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.).
- 4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
- b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?.

3b		
4a		No
4b		No

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
 - (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?.
 - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?.
 - (3)** Provide a grant to an individual for travel, study, or other similar purposes?.
 - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.
 - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?.
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.
- c** Organizations relying on a current notice regarding disaster assistance check
- d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?.
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?.
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?.
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?.

	Yes	No
5a(1)		No
5a(2)		No
5a(3)		No
5a(4)		No
5a(5)		No
5b		
5d		
6a		No
6b		No
7a		No
7b		
8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JO LYNN ALLEN C/O VULCAN LLC 505 5TH AVE S 900 SEATTLE, WA 98104	DIRECTOR/PRESIDENT 1.0	0	0	0
OREN ETZIONI C/O VULCAN LLC 505 5TH AVE S 900 SEATTLE, WA 98104	VICE PRESIDENT 1.0	0	0	0
SUSAN DRAKE C/O VULCAN LLC 505 5TH AVE S 900 SEATTLE, WA 98104	VICE PRESIDENT 1.0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NIKET TANDON 505 5TH AVENUE SOUTH SUITE 90 SEATTLE, WA 98104	SR.RESEARCH SCIENTIS 40.0	172,154	0	600
PRADEEP DASIGI 505 5TH AVENUE SOUTH SUITE 90 SEATTLE, WA 98104	RESEARCH SCIENTIST 40.0	165,348	19,500	600
YOGANAND CHANDRASEKHAR 505 5TH AVENUE SOUTH SUITE 90 SEATTLE, WA 98104	SOFTWARE ENGINEER 2 40.0	114,767	18,220	600
DA CHEONG HWANG 505 5TH AVENUE SOUTH SUITE 90 SEATTLE, WA 98104	RESEARCH ENGINEER 1 40.0	110,005	16,369	600
VERED SHWARTZ 505 5TH AVENUE SOUTH SUITE 90 SEATTLE, WA 98104	YOUNG INVESTIGATOR 40.0	109,601	14,134	600
Total number of other employees paid over \$50,000.				9

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All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	▶

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Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities.	1a	0
b Average of monthly cash balances.	1b	36,232
c Fair market value of all other assets (see instructions).	1c	0
d Total (add lines 1a, b, and c).	1d	36,232
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2 Acquisition indebtedness applicable to line 1 assets.	2	0
3 Subtract line 2 from line 1d.	3	36,232
4 Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	543
5 Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	35,689
6 Minimum investment return. Enter 5% (0.05) of line 5.	6	1,784

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part IX, line 6.		1,784
2a Tax on investment income for 2021 from Part V, line 5.	2a	0
b Income tax for 2021. (This does not include the tax from Part V.)	2b	
c Add lines 2a and 2b.	2c	0
3 Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,784
4 Recoveries of amounts treated as qualifying distributions.	4	
5 Add lines 3 and 4.	5	1,784
6 Deduction from distributable amount (see instructions).	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	1,784

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,300,921
b	Program-related investments—total from Part VIII-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	1,300,921

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Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				1,784
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 2019, 2018, 2017				
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	45,624			
b From 2017.	104,203			
c From 2018.	706,684			
d From 2019.	1,104,875			
e From 2020.	1,836,419			
f Total of lines 3a through e.	3,797,805			
4 Qualifying distributions for 2021 from Part XI, line 4: \$ <u>1,300,921</u>				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2021 distributable amount.				1,784
e Remaining amount distributed out of corpus	1,299,137			
f Excess distributions carryover applied to 2021				

	(a) 2021	(b) 2020	(c) 2019	(d) 2018
investment return from Part IX for each year listed				
b 85% (0.85) of line 2a				
c Qualifying distributions from Part XI, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions. complete items 2a. b. c. and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				

--	--	--	--

Total **3b**

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Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
.					

Sign Here ▶ Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

_____ 2022-10-24 _____
 Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below?
 See instructions. Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ <input type="checkbox"/>	PTIN
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no.

Form **990-PF** (2021)

Additional Data

[Return to Form](#)

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

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ObjectID: 202202989349100615 - Submission: 2022-10-25

Schedule B**(Form 990)**Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization
THE ALLEN INSTITUTE FOR ARTIFICIAL
INTELLIGENCE

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private four
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundati
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contril money or other property) from any one contributor. Complete Parts I and II. See instructions contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33^{1/3}% under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ) received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that reported during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that reported during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contribution for the prevention of cruelty to children or animals. If this box is checked, enter here the total contributions that were received during the year for this purpose. Don't complete any of the parts unless the **General Rule** applies to this organization. If the **General Rule** applies, religious, charitable, etc., contributions totaling \$5,000 or more during the year

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Form 990-EZ, or 990-PF, but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line 2 of its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Cat. No. 30613X

Schedule B (Form 990) (2021)

Name of organization THE ALLEN INSTITUTE FOR ARTIFICIAL INTELLIGENCE	Enter amount 27
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Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contribution
1	Paul G Allen Estate c/o Lane Powell PC PO Box 91302 Seattel, WA 981119402	\$

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contrib
2	Allen Institute for AI S-Corp 505 5th Avenue South Suite 900 <hr/> Seattle, WA 98104	
-	<hr/> <hr/>	
-	<hr/> <hr/>	
-	<hr/> <hr/>	
-	<hr/>	

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Schedule B (Form 990) (2021)

Name of organization THE ALLEN INSTITUTE FOR ARTIFICIAL INTELLIGENCE	Employer id 27-5430153
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or es) (See instru
-	_____ _____ _____	_____
-	_____ _____ _____	_____
-	_____ _____ _____	_____
-	_____ _____ _____	_____
-	_____ _____ _____	_____

No. from Part I	Description of noncash property given	FMV (or es) (See instru
-		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or es) (See instru
-		

Schedule B (Form 990) (2021)

Name of organization THE ALLEN INSTITUTE FOR ARTIFICIAL INTELLIGENCE	Em 27-
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and organizations completing Part III, enter the total of exclusively religious, charitable, etc., year. (Enter this information once. See instructions.) \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	
-			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	
-			

		(e) Transfer of gift	
		Transferee's name, address, and ZIP 4	Relationship of
		<hr/> <hr/>	<hr/> <hr/>
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	
-	<hr/> <hr/>	<hr/> <hr/>	
		(e) Transfer of gift	
		Transferee's name, address, and ZIP 4	Relationship of
		<hr/> <hr/>	<hr/> <hr/>
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	
-	<hr/> <hr/>	<hr/> <hr/>	
		(e) Transfer of gift	
		Transferee's name, address, and ZIP 4	Relationship of
		<hr/> <hr/>	<hr/> <hr/>

Additional Data

Software ID:
Software Version:

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TY 2021 IRS 990 e-File Render

Name: THE ALLEN INSTITUTE FOR ARTIFICIAL INTELLIGENCE

EIN: 27-5430153

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense
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efile Public Visual Render | **ObjectId: 202202989349100615 - Submission: 2022-**

TY 2021 IRS 990 e-File Render

Name: THE ALLEN INSTITUTE FOR ARTIFICIAL INTELLIGENCE

EIN: 27-5430153

Employee	Ex
NIKET TANDON	TRANSPORTATION ALLO
PRADEEP DASIGI	TRANSPORATION ALLOV
YOGANAND CHANDRASEKHAR	TRANSPORTATION ALLO
DA CHEONG HWANG	TRANSPORTATION ALLO
VERED SHWARTZ	TRANSPORTATION ALLO